

<p>KLM Technology Group</p> <p>Practical Engineering Guidelines for Processing Plant Solutions</p>	<div style="text-align: center;">  <p>Guidelines, Consulting, and Training</p> <p>Engineering Solutions</p> <p>www.klmtechgroup.com</p> </div>	<p>Page : 1 of 176</p> <p>Rev: 06</p> <p>Rev 01 January 2008 Rev 02 November 2010 Rev 03 October 2014 Rev 04 January 2015 Rev 05 November 2016 Rev 06 March 2024</p>
<p>KLM Technology Group #033, Jalan Bayu 8/1, Taman Nusa Bayu, 79200 Iskandar Puteri, Johor, Malaysia</p>	<p style="text-align: center;">Kolmetz Handbook Of Process Equipment Design</p> <p style="text-align: center;">HEAT EXCHANGER SELECTION, SIZING AND TROUBLESHOOTING</p> <p style="text-align: center;">(ENGINEERING DESIGN GUIDELINES)</p>	<p>Co Author: Rev 01 A L Ling Rev 02 Viska Mulyandasari Rev 03 Yurika Mandasari Rev 04 Mela Widiawati Rev 05 Aprilia Jaya Rev 06 Aprilia Jaya</p> <p>Author / Editor: Karl Kolmetz</p>

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General Heat Exchanger Design Flaws

Heat exchangers are one of the groups of process equipment that will follow the “Kolmetz Law of Project Stupidity”.

Kolmetz Law of Project Stupidity: a law strictly followed by most engineering projects.

“Save money and poorly design the process equipment by awarding it to the low-cost bidder. Loose money for the next twenty years on plant capacity, maintenance reliability, and excess energy.”

According to this law, awarding a process equipment contract to the lowest bidder may save you money in the short term, but it can cost you heavily in the long run. You may end up losing money for the next twenty years on plant capacity, maintenance reliability, and excess energy. So, next time you are tempted to cut corners, remember the Kolmetz Law of Project Stupidity.

Typically heat exchangers are awarded to the lowest bidder with very low standards of guarantees. Typical guarantees by the manufacturers are hydraulic capacity only, and this test must be carried out within three to six months, while the heat exchanger is still clean and new. Typical process guarantees are by the process engineering company which includes capacity and heat exchange, again the performance test must be carried out within three to six months.

Imagine buying a car and receiving a three-to-six-month warranty and only good gas milage for the first six months. You would think the car manufacturer was taking advantage of you, yet this is what we do for heat exchangers, and cars are much more complex than heat exchangers.

These design guidelines are believed to be as accurate as possible, but are very general and not for specific design cases. They were designed for engineers to do preliminary designs and process specification sheets. The final design must always be guaranteed for the service selected by the manufacturing vendor, but these guidelines will greatly reduce the amount of up front engineering hours that are required to develop the final design. The guidelines are a training tool for young engineers or a resource for engineers with experience.

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What are things that should be included in heat exchanger design that are not being utilized because of the sweetheart guarantees and low-cost bidders.

1. Anti Fouling Designs to improve the heat exchanger over the life of the run length.
2. Ensuring the flow is in the turbulent regimen, not laminar regime – major loss of heat transfer.
3. Treat cooling water as a high fouling median, which may corrode a heat exchanger tube within twelve months.
4. Consider flow enhancement devices.
5. Tube Geometry Optimization
6. Baffle Optimization

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INTRODUCTION

Scope

This design guideline covers the selection, sizing methods and troubleshooting for heat exchangers which are commonly used in typical industrial processes. It assists engineers, operations personnel and maintenance personnel to understand the basic designs of different types of heat exchangers and increases their knowledge in selection and sizing. A heat exchanger is a device for heat transfer from one medium to another.

The basic concept of a heat exchanger is based on the premise that the loss of heat on the high temperature side is exactly the same as the heat gained in the low temperature side after the heat and mass flows through the heat exchanger. Heat exchanger 'simply' exchanges the heat between those two sides; as a result, it is decreasing the temperature of higher temperature side and increasing the temperature of lower temperature side. But designing heat exchanger might be a challenge; it needs iteration for manual calculation. Hence, a guideline to properly select and sizing is needed.

Many factors have to be considered in heat exchanger selection. Generally, suitability of types of heat exchanger to be used in processing industrials is selected based on Industry Standards. The standards divides heat exchanger into classes based on their application. Comparison of each class in the standard is summarised in this guideline. Besides, various type of heat exchanger with their best suitable application and limitation are also listed in this guideline.

Selection might be done by referred to some valid standards or guideline, but understanding the basic concept and theory behind heat exchanger is also important. Furthermore, basic theories about heat transfer are also extremely needed to do heat exchanger sizing. Hence, some theories are included in this guideline.

Selection and sizing are related each other; changing in heat exchanger component, such as tube pattern and baffle, would affect the calculation. Some required data is commonly pictured in a graph or listed in a table and they are attached in this guideline as well. To do manual calculation, it is mentioned before, iteration is needed. This guideline gives some approximation values as a 'boundary' for iteration. The step by step sizing method is also explained in this guideline to simplify the calculation.

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In the application section, multiple cases examples are included to guide the reader by using the step-by-step method to do heat exchanger sizing. A calculation spreadsheet is also included as well to aid user more understand the calculation. This spreadsheet is also helpful to make the calculation even easier.

General Considerations

Why Use Heat Exchangers

1. To cool process streams
 - a. Gasoline product going to storage is cooled to reduce loses because of its vapor pressure.
 - b. General unit intercoolers remove the heat of reaction between reactors
 - c. Absorber intercoolers on gas concentration units remove the heat of absorption and thereby increase the efficiency of the absorber
 - d. Fractionator condensers condense the overhead, part of which may be the product and the other part of which may be reflux that is returned to the column to help effect a separation

2. To heat process streams
 - a. Fractionator reboilers are used to add heat to fractionation column that effects a separation.
 - b. Reactor charge heaters are used to heat the feed up to the reaction temperature.

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3. To exchange heat between hot and cold process streams
 - a. Feed exchangers that are used to heat the reactor charge by exchanging heat with the reactor effluent
 - b. Fractionator feed-bottoms exchanger that is used to heat the feed by exchanging heat with the bottoms

Heat Exchanger Type

Heat transfer equipment is usually specified both by type of construction and by service.

A heat exchanger is a specialized device that assists in the transfer of heat from one fluid to the other. In some cases, a solid wall may separate the fluids and prevent them from mixing. In other designs, the fluids may be in direct contact with each other. In the most efficient heat exchangers, the surface area of the wall between the fluids is maximized while simultaneously minimizing the fluid flow resistance. Fins or corrugations are sometimes used with the wall in order to increase the surface area and to induce turbulence.

In heat exchanger design, there are three types of flow arrangements: counter-flow, parallel-flow, and cross-flow. In the counter-flow heat exchanger, both fluids entered the exchanger from opposite sides. In the parallel-flow heat exchanger, the fluids come in from the same end and move parallel to each other as they flow to the other side. The cross-flow heat exchanger moves the fluids in a perpendicular fashion. Compare to other flow arrangements counter flow is the most efficient design because it transfers the greatest amount of heat.

There are two major different designs of heat exchangers: shell and tube, and plate heat exchanger. The most typical type of heat exchanger is the shell and tube design. This heat exchanger can be design with bare tube or finned tubes. One of the fluids runs through the tubes while the other fluid runs over them, causing it to be heated or cooled. In the plate heat exchanger, the fluid flows through baffles. This causes the fluids to be separated by plates with a large surface area. This type of heat exchanger is typically more efficient than the shell and tube design.

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(A) Shell & Tube Exchanger

A shell and tube heat exchanger is a class of heat exchanger designs. It is the most common type of heat exchanger in oil refineries and other large chemical processes, and is suited for higher-pressure applications. It consists of a tube bundle enclosed in a cylindrical casing called a shell. One fluid runs through the tubes, and another fluid flows over the tubes (through the shell) to transfer heat between the two fluids.

Two fluids, of different starting temperatures, flow through the heat exchanger. One flows through the tubes (the tube side) and the other flows outside the tubes but inside the shell (the shell side). Heat is transferred from one fluid to the other through the tube walls, either from tube side to shell side or vice versa. The fluids can be either liquids or gases on either the shell or the tube side. In order to transfer heat efficiently, a large heat transfer area should be used, so there are many tubes. In this way, waste heat can be put to use. This is a great way to conserve energy.

Typically, the ends of each tube are connected to plenums through holes in tube sheets. The tubes may be straight or bent in the shape of a U, called U-tubes. Most shell-and-tube heat exchangers are either 1, 2, or 4 pass designs on the tube side. This refers to the number of times the fluid in the tubes passes through the fluid in the shell. In a single pass heat exchanger, the fluid goes in one end of each tube and out the other.

There are two basic types of shell-and-tube exchangers. The first is the fixed tube sheet unit, in which both tube sheets are fastened to the shell and the tube bundle is not removable. The second type of shell-and-tube unit has one restrained tube sheet, called the stationary tube sheet, located at the channel end. Differential expansion problems are avoided by use of a freely riding floating tube sheet at the other end or the use of U tubes. This design may be used for single or multiple pass exchangers. The tube bundle is removable from the channel end, for maintenance and mechanical cleaning.

There are often baffles directing flow through the shell side so the fluid does not take a short cut through the shell side leaving ineffective low flow volumes.

Counter current heat exchangers are most efficient because they allow the highest log mean temperature difference between the hot and cold streams. Many companies however do not use single pass heat exchangers because they can break easily in addition to being more

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expensive to build. Often multiple heat exchangers can be used to simulate the counter current flow of a single large exchanger.

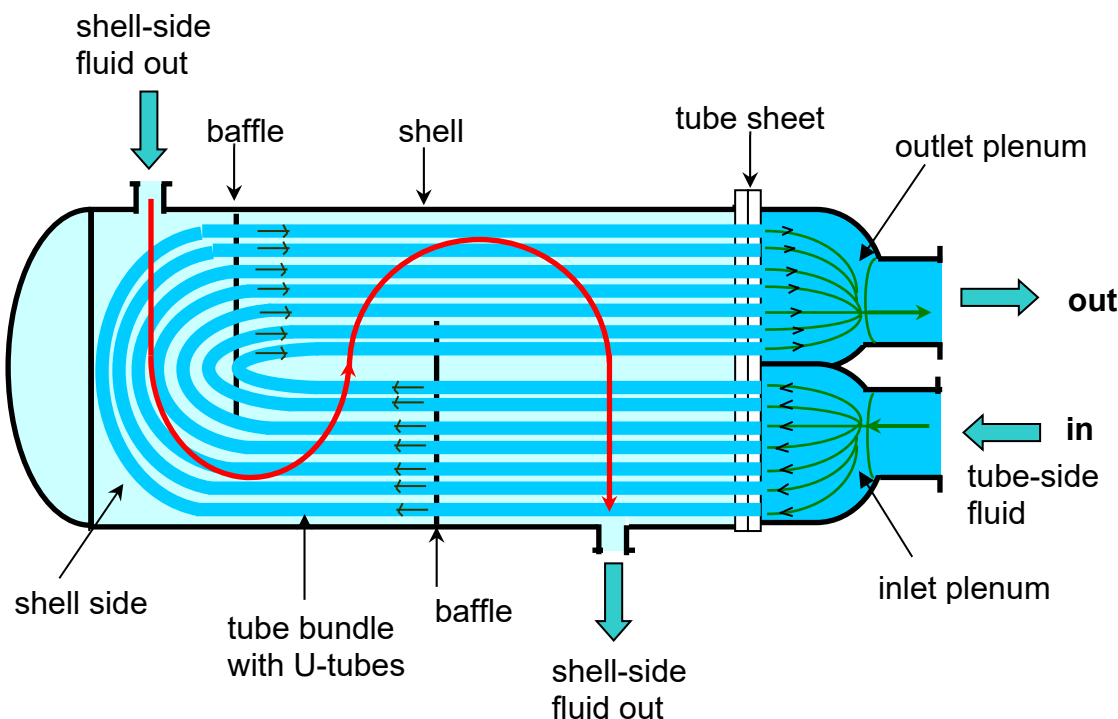


Figure 1: U-tube Heat Exchanger

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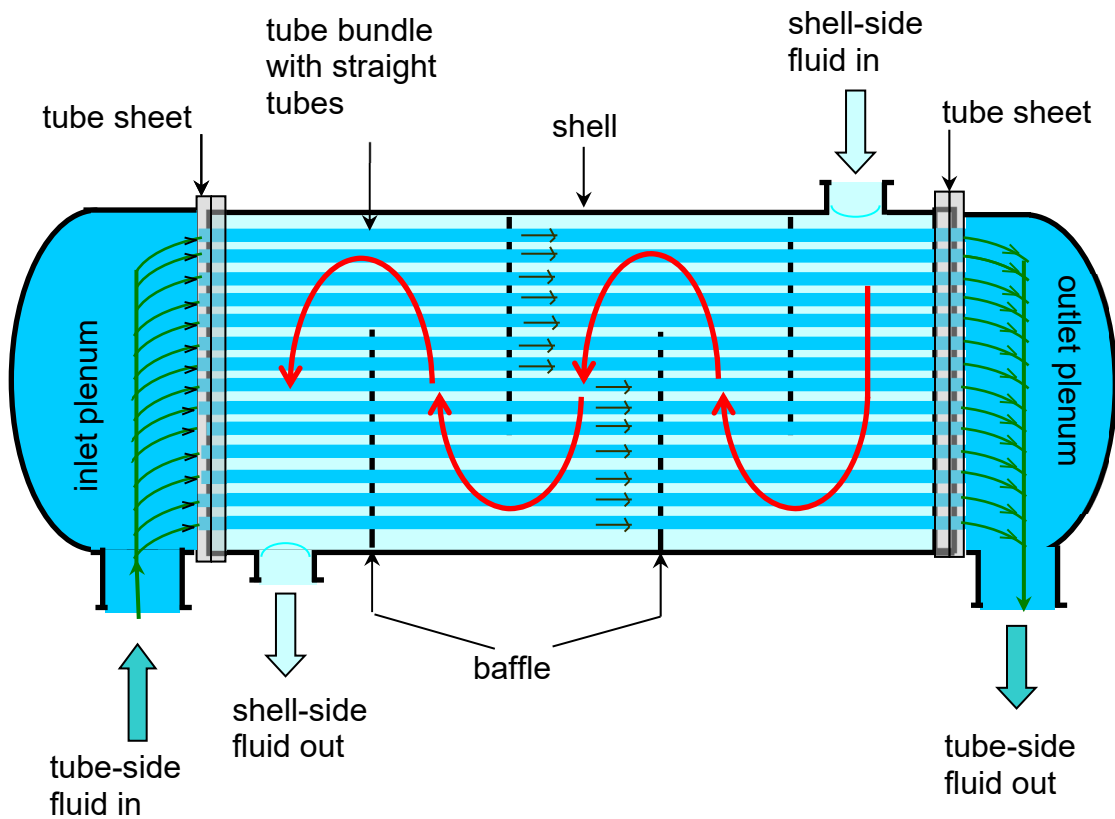


Figure 2: Straight Tube Heat Exchanger (One Pass Tube-Side: Countercurrent Flow)

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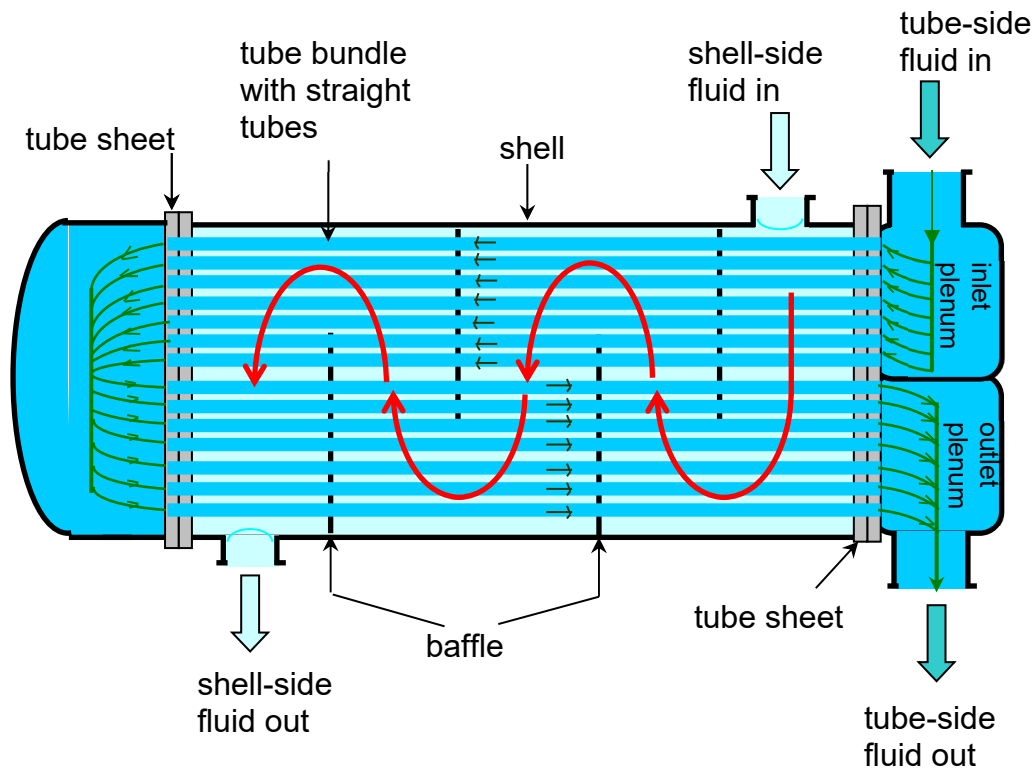


Figure 3: Straight Tube Heat Exchanger (Two Pass Tube Side)

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Figure 4: Type 500 Shell & Tube Heat Exchangers

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(B) Plate Heat Exchangers

Plate and frame heat exchanger for general refinery service can be referred as gasketed plate heat exchangers. The plate heat exchanger consists of a frame, which consists of a head, follower, column, carrying bar, guiding bar, and a number of clamping bolts. In between head and follower, a varying number of pressed plates are clamped together. Each plate is supplied with a gasket, so that the plates form a closed system of parallel flow channels, through which the media flow alternatively at every second interval.

The gaskets are glued on the plates, securing tightness between media and the atmosphere. Between the different media there are double gaskets, which have intermediate drain areas, meaning that mixing of the two media is impossible. Every second plate in the stack has to turn 180°, so that the plates form a closed system of parallel flow channels, through which the media flow alternatively at every second interval.

The advantage of the gasketed plate heat exchanger:

- (i) High thermal efficiency due to high film efficiency of heat transfer for both fluids, no bypassing and leakage streams, and counter-current operation.
- (ii) Plate design is feasible with size, chevrons angles and pass arrangements.
- (iii) Easy maintenance that the plate can be easily disassembled for cleaning.
- (iv) The plates of the unit can be rearranged, added or removed from the plate rack to suit for difference of service condition.
- (v) Have very wide range of total surface area up to 15,000 ft².
- (vi) Low fouling is encountered due to high turbulence create by plate and the fluid low residence in plate.

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The disadvantage,

- (i) Have limitations in service temperature and pressure. Maximum service temperature is 450°F and pressure is 335 psig.
- (ii) The gaskets impose restrictions on the nature of the fluids which can be handled.

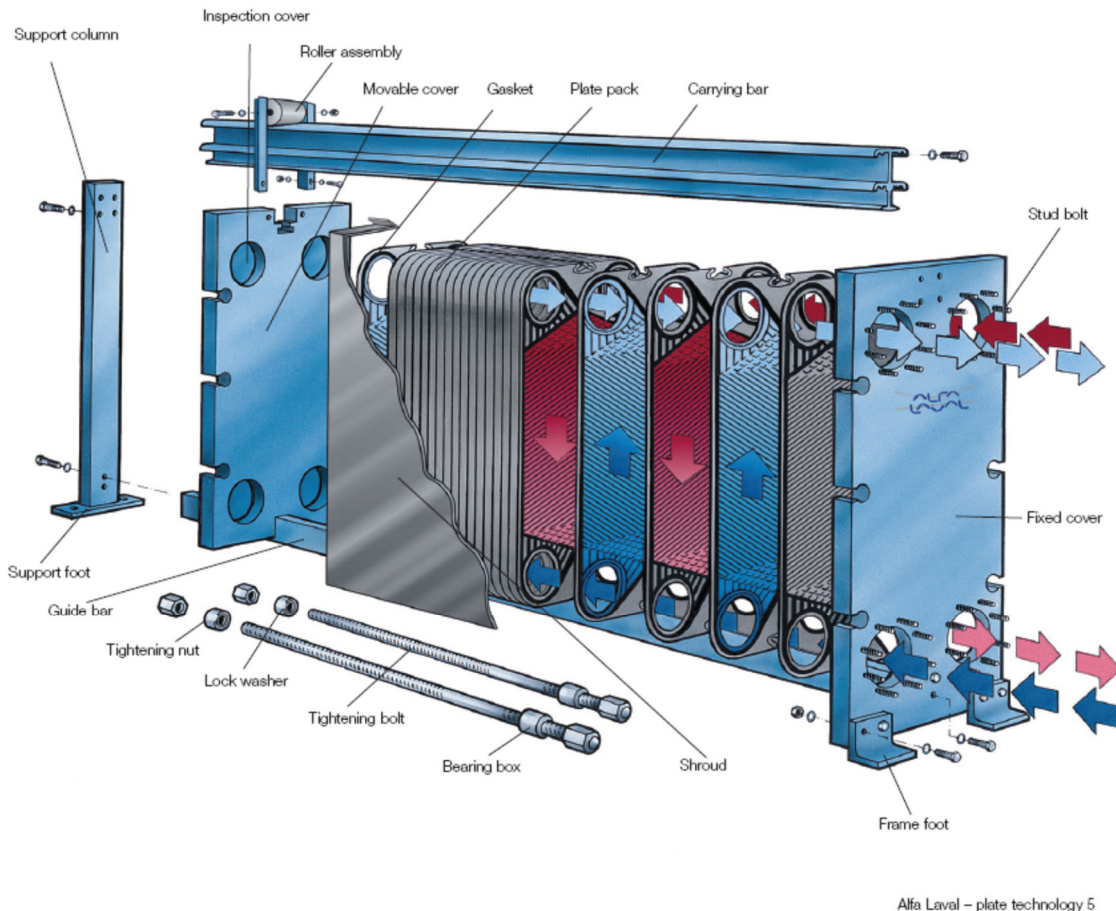


Figure 5: Plate Heat Exchanger

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Figure 6: Welded Heat Exchanger (Plate Type)

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DEFINITION

Baffle- A device to direct the shell side fluid across the tubes for optimum heat transfer by difference of baffle cut %.

Baffle Spacing – The space between baffle plates on a tube bundle. Baffle spacing is adjusted to achieve maximum heat exchanger performance

Bonnet – Like a channel with straight tubes but without a removable cover. These do not have divider walls and are at each end of the heat exchanger.

Bonnet Assembly – Manages the tube side liquid for circulation through the tubes. This can also hold the tube side inlet and outlet connections and/or pass ribs.

Condenser - A vessel use to change a fluid stream from the vapor state to the liquid state by removing the heat of vaporization. The fluid stream can be a pure component or a mixture of components. Condensation may occur on the shell side or the tube side of an exchanger oriented vertically or horizontally.

Cooler - Commonly is an insulated box, used to keep food or drink cool. Ice cubes which are very cold are most commonly placed in it to make the things inside stay cool. Ice packs are sometimes used, as they either contain the melting water inside, or have a gel sealed inside that also stays cold longer than plain water.

Design Pressure - Also called maximum allowable operating pressure. Used by engineers to calculate part thickness and heat exchanger design. Generally, it is slightly higher than the most severe condition or highest operating pressures seen by the heat exchanger

Expansion Joint “J” Factor- Is the ratio of the spring rate of the expansion joint to the sum of the axial spring rate of the shell and the spring rate of the expansion joint.

Fixed Tube Sheet - A nonremovable tube sheet; the tube sheet on a core assembly; or any tube sheet that is an integral part of the shell assembly.

Floating Tube Sheet - The tube sheet at one end of a removable-tube bundle. The floating tube sheet always has a smaller diameter than does the stationary version. The floating tube

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sheet moves freely with the expansion and contraction of the tube bundle due to temperature changes in operation.

Fouling - The increased resistance to both heat transfer and fluid flow caused by deposits on a heat transfer surface. Fouling works as an insulating layer on the heat transfer surface, reducing heat transfer efficiency (reduced duty) or decreasing available flow area (reduced throughput). The increased resistance to heat transfer is represented by a quantity referred to as the fouling thermal resistance, which is added to the total thermal resistance. The values of fouling thermal resistance have generally been observed to increase with time. To account for the effect of fouling on pressure drop requires an estimate of the fouling layer thickness.

Gasket - A sealing device used between two parts to prevent leakage. Types include inside-the-bolt circle (no bolt holes) and full face (with bolt holes and the same diameter as the flange)

Heater - A heater is any object that emits heat or causes another body to achieve a higher temperature. In a household or domestic setting, heaters are commonly used to generate heating

Impingement Plate - A small perforated- -plate or bar assembly placed inside the shell-side nozzle, usually a dome type nozzle. The plate also can be attached directly to the bundle by being tack-welded to the tie rods. The impingement plate protects and prolongs tube life by breaking up and slowing down the shell side fluid, which otherwise would erode the tubing.

Knock-Back Condenser- An apparatus and method useful for partially condensing vapor in the upper section of a fractionation tower to separate and remove a lighter gaseous fraction from a condensed liquid component, such as nitrogen from natural gas. A downflow, knockback condenser is disclosed that utilizes a vapor riser to introduce a flow of vapor into a headspace above a vertical tubular heat exchanger, thereby establishing a downflow of condensed liquid and a lighter gaseous fraction through the heat exchange tubes.

Nozzle – Nozzles are the pipe sections use to connect to the heat exchanger headers to the piping.

One, Two, Four Pass - The number of times the fluid passes through the tube bundle. In a one-pass unit, the tubeside medium passes through all the tubes once. In a two-pass unit, it

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passes through one-half of the tubes and returns through the other half. A four-pass unit goes through approximately one-quarter of the tubes, down and back four times. Greater-than-one pass heat exchangers are referred to as multipass units.

Operating Pressure. The pressure a heat exchanger is actually operating at while in use.

Pumparound Coolers- Pumparound coolers cool a side stream from an intermediate tray of a distillation column. The side stream or pumparound, after it has been cooled, is returned to another tray in the distillation column. A part of the pumparound may be drawn as side stream product.

Reboiler - are heat exchangers typically used to provide heat to the bottom of industrial distillation columns. They boil the liquid from the bottom of a distillation column to generate vapors which are returned to the column to drive the distillation separation.

Removable Bundle - A type of heat exchanger in which the tube bundle can be removed from the shell pipe. This provides easy cleaning of the shell side and a less expensive way of replacing worn out tubes.

Shell – The container where the tube bundle is placed and is the conduit for one of the fluids in the heat exchanger

Shell Assembly - The assembly into which the tube bundle fits. The shell also contains the shell-side connections.

Shell Head - A formed plate welded to the shell, or bonnet, pipe. It can be many styles or shapes, including flanged and dished, elliptical, ellipsoidal and hemispherical. Generally, as a head gets flatter, it gets weaker; therefore, designers can use a flat-end plate or a thinner formed head to do the same job.

Shell Side - The side of a heat exchanger where the fluid circulates around the outside of the tubes

Steam Generator - a device used to boil water to create steam. It may refer to Boiler, a closed vessel in which water is heated under pressure ; Steam generator (nuclear power), a

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heat exchanger in a pressurized water reactor equipped nuclear power plant; Steam generator (railroad), a device used in trains to provide heat to passenger cars.

Superheater- is a device in a steam engine that heats the steam generated by the boiler again, increasing its thermal energy and decreasing the likelihood that it will condense inside the engine. Superheaters increase the efficiency of the steam engine, and were widely adopted. Steam which has been superheated is logically known as superheated steam; non-superheated steam is called saturated steam or wet steam. Superheaters were applied to steam locomotives in quantity from the early 20th century, to most steam vehicles, and to stationary steam engines including power stations.

Support Plate- Is a device to support the bundle or to reduce unsupported tube span without consideration for heat transfer.

Tube – A flow channel for one of the fluids in the heat exchanger. These are often parallel within the shell to provide a large surface area for heat transfer.

Tube Layout – Shows the positioning of the tubes inside the heat exchanger and the locations of the tie rods.

Tubesheet - Is the barrier between the shell and tube fluids, and where it is essential for safety or process reasons to prevent any possibility of intermixing due to leakage at the tube sheet joint.

Vaporizer -A vaporizer is an exchanger that converts liquid into vapor. This term is sometimes limited to units handling liquids other than water.

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NOMENCLATURE

A	Effective surface area (outside), ft ²
a	Tube row spacing factor, dimensionless
A _o	Surface area outside (tube), ft ²
A _i	Surface area inside (tube), ft ²
A _s	Effective heat transfer area per shell, ft ²
A _T	Single tube outside surface area per unit length ft ² /ft
C _p	Fluid specific heat, Btu/lb°F
CMTD	Corrected Mean Temperature Difference
D _i	Diameter inside (tube), in
DN	Nominal nozzle I.D., in.
D _o	Diameter outside (tube), in
DOTL	Diameter of bundle outer tube limit, in.
DS	Shell I.D., in.
DSNI	Shell side inlet nozzle I.D., in.
DSNO	Shell side outlet nozzle I.D., in.
DTNI	Tube side inlet nozzle I.D., in.
DTNO	Tube side outlet nozzle I.D., in.
d	OD of root diameter of integrally finned, in
f	Non-isothermal friction factor, dimensionless
F ₂	LMTD correction factor
F _s	Shell side pressure drop correction factor, dimensionless
GTTD	Greatest Terminal Temperature Difference, °F
HF	Shell side friction term, dimensionless
HM	Shell side momentum term, dimensionless
h _o	Film coefficient outside, Btu/(hr.ft ² .°F)
h _i	Film coefficient inside, Btu/(hr.ft ² .°F)
j	Stanton Number type heat transfer factor, dimensionless
K _e	Tube side pressure drop coefficient, dimensionless
k	Thermal conductivity of fluid, Btu/hr-ft ² -°F/ft
k _m	Thermal conductivity of metal, Btu/[hr.ft ² .°F)/ft]

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L	Tube length, ft
/	Tube wall thickness, in
LBCC	Central baffle pitch, in.
LI	Tube flow length, in.
LMTD	Log Mean Temperature Difference, °F
LTTD	Least Terminal Temperature Difference, °F
L _e	Effective tube length, ft
N	Number of tube passes
N _f	Number of fins per inch
N _p	Number of shells in parallel
N _s	Number of shells in series
N _T	Total number of shells
N _{TP}	Number of tube passes per shell
N _{TT}	Number of tubes in a bundle
n	n th zone value
n _r	Baffle spacing to bundle diameter ratio, dimensionless
p	Baffle flow factor, dimensionless
PR	Tube pitch ratio, dimensionless
PT	Tube pitch, in.
ΔP _e	Tube entrance, expansion, and turnaround pressure drop, psi
ΔP _{exch}	Total nozzle to nozzle shell side pressure drop, psi
ΔP _n	Tube side nozzle pressure drop, psi
ΔP _s	Shell side pressure drop (excluding nozzles), psi
ΔP _{sn}	Shell side nozzle pressure drop, psi
ΔP _t	Tube side frictional pressure drop, psi
(ΔP _t) _{nn}	Total tube side nozzle pressure drop, psi
Q	Heat transferred, Btu/hr
R	Sum of resistances, (hr.ft ² .°F)/ Btu
R _c	Total resistance (clean) to heat transfer, (hr-ft ² -°F)/Btu
Re	Reynolds Number, dimensionless
Re _{xt}	Shell side total flow Reynolds Number, dimensionless
Re _{xh}	Cross flow Reynolds number for heat transfer, dimensionless
Re _{xp}	Cross flow Reynolds Number for pressure drop, dimensionless

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r_{fo}	Fouling resistance outside (shell), (hr.ft ² . °F)/Btu
r_i	Inside fouling factor to inside surface area, hr-ft ² -°F/Btu
r_{fi}	Fouling resistance inside (tube) referred to outside surface area,, ((hr.ft ² . °F)/Btu)
r_w	Metal resistance for tube, (hr.ft ² . °F)/Btu
SC	Baffle spacing correction factor, dimensionless
S_{TT}	Tube sheet material allowable stress at design temperature, lb/in ² .
ΔT	Fluid temperature change, °F
TS_b	Bulk temperature of shell side fluid, °F
TT_b	Bulk temperature of tube side fluid, °F
TTT	Total tube sheet thickness, ft
U	Overall heat transfer coefficient, Btu/hr°F.ft ²
U_c	Clean coefficient, Btu/hr°F.ft ²
U_D	Calculated overall fouled coefficient of heat transfer, Btu/hr-ft ² -°F
U_o	Overall duty coefficient of heat transfer, Btu/hr-ft ² -°F
V_n	Tube side average nozzle fluid velocity, ft/sec
V_t	Fluid velocity in tubes, ft/sec
W	Fluid flow rate, lb/hr
W_s	Shell side mass rate per shell, lb/hr
w	Fin height, in
WTD	Weighted temperature difference, °F

Greek letters

λ	Latent heat of specific fluid, Btu/lb
ϕ	Viscosity correction for wall temperature, dimensionless
μ_b	Viscosity at bulk temperature, centipoise
μ_w	Viscosity at wall temperature, centipoise
ξ	Baffle correction factor, dimensionless
ρ	Density, lb/ft ³

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